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# Vision Experiment II on Chroma Saturation Preference in Different Hues

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# Shortcomings of CRI

## Scientific inaccuracies as a color fidelity metric

- Outdated color space ( $W^*U^*V^*$ )
- Outdated chromatic adaptation transform (von Kries)
- Small number of test samples (8) can cause anomalies.
- Reference illuminant moves with that of test light source of any CCT

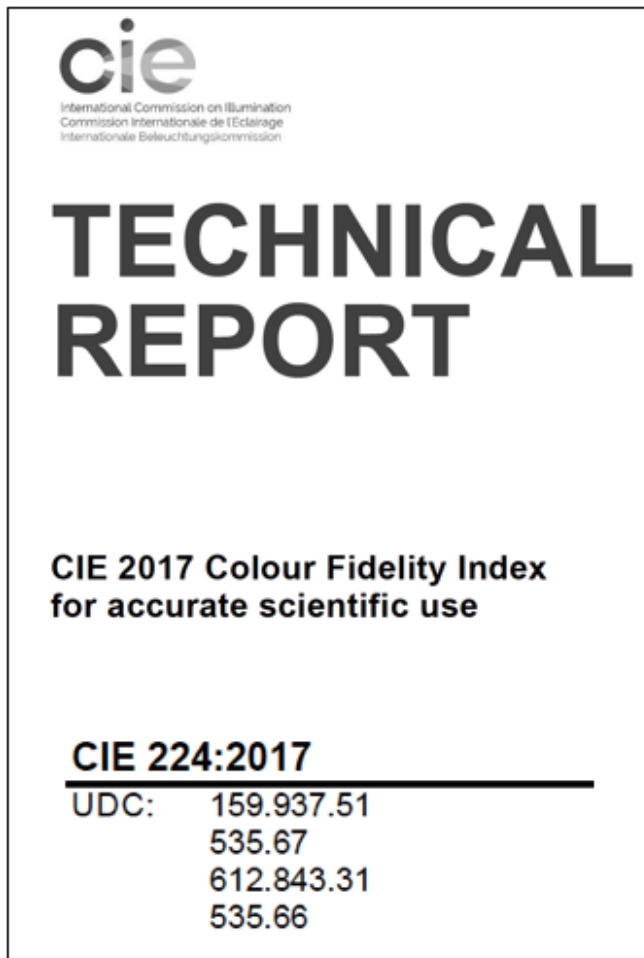
## Problem of color fidelity metrics

- CRI is designed as a color fidelity metric (with respect to a reference illuminant.) → It does not address effects of perception/preference.

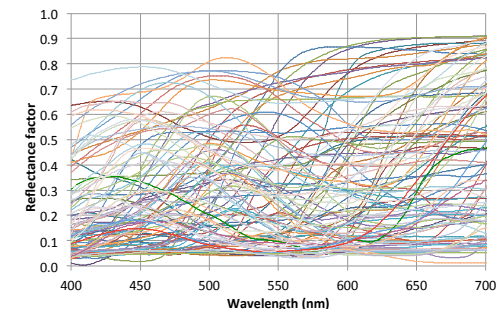
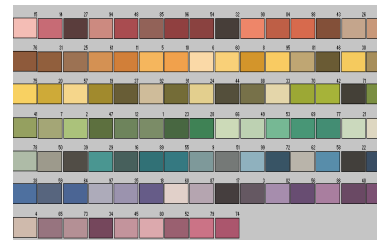
The users of lighting expect CRI to work as an overall color quality measure.

# CIE 224:2017

## CIE 2017 Colour Fidelity Index for Accurate Scientific Use



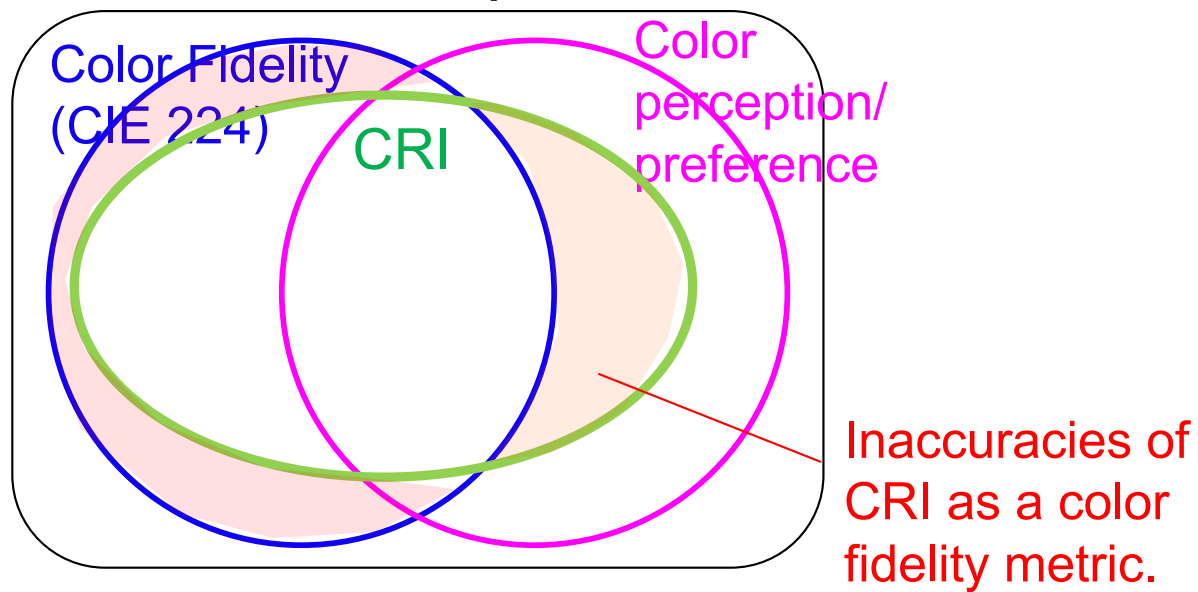
- ❑ Published April 2017.
- ❑ It is based on Fidelity Index  $R_f$  in IES TM-30, using the same 99 test samples, the same formulae. A few small changes.



- ❑ “The general colour fidelity index  $R_f$  is not a replacement of the CRI ( $R_a$ ) for the purpose of rating and specification of products nor for regulatory or other minimum performance requirements”

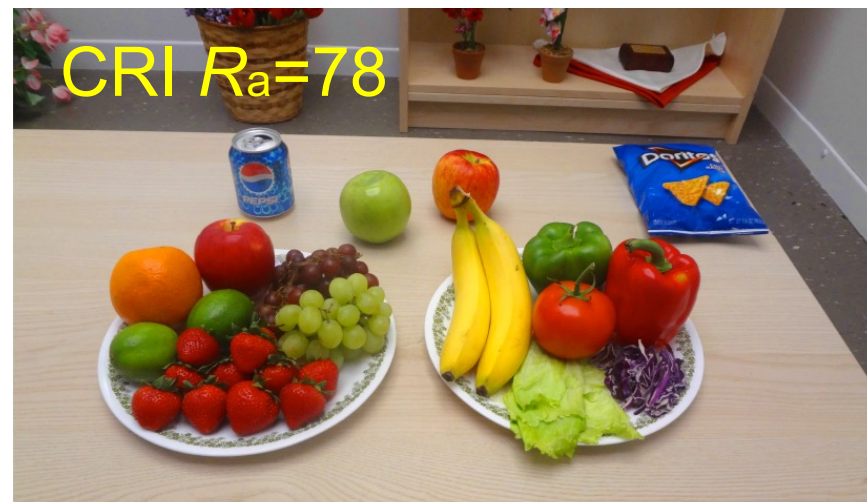
# Overall Color Quality

End users' expectation



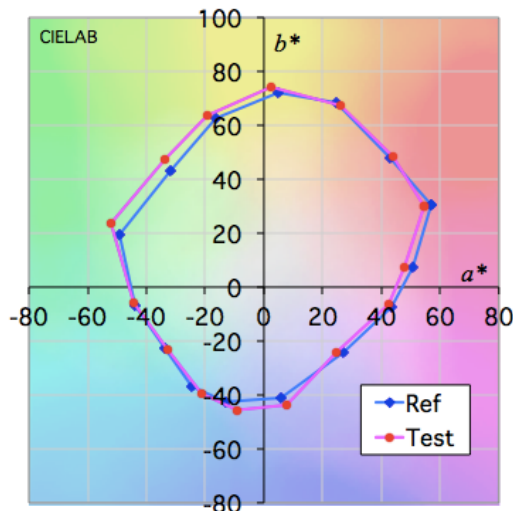


# Why perception differs from the CRI scores?



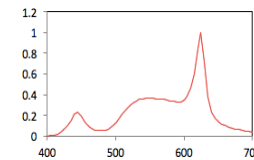
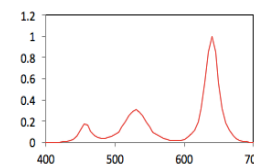
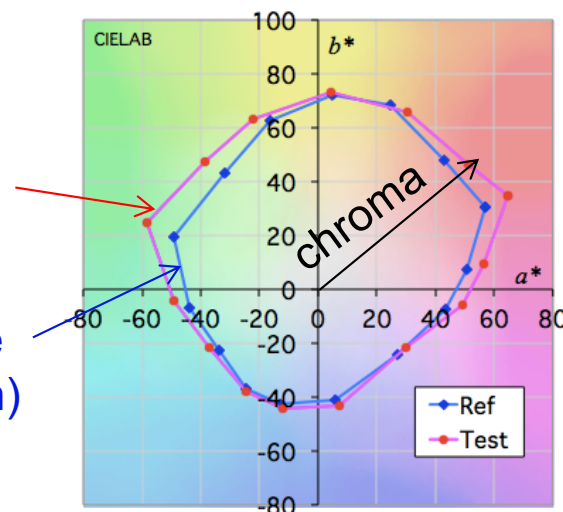
Plots of 15  
CQS samples  
on CIELAB  
( $a^*$ ,  $b^*$ )

The size of  
plot area is  
“Gamut area”



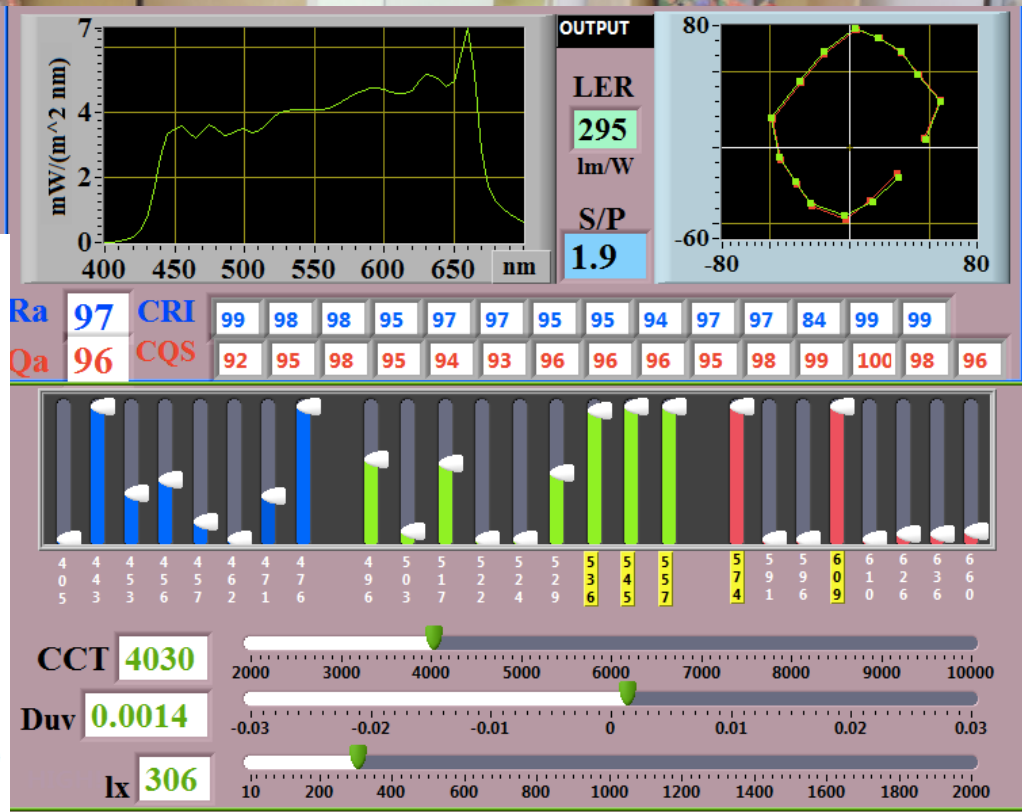
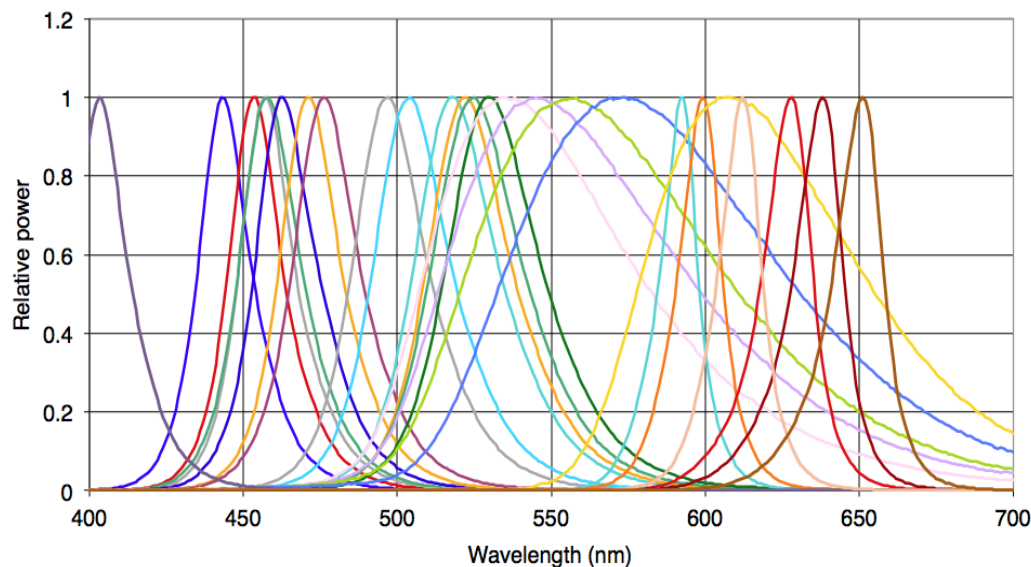
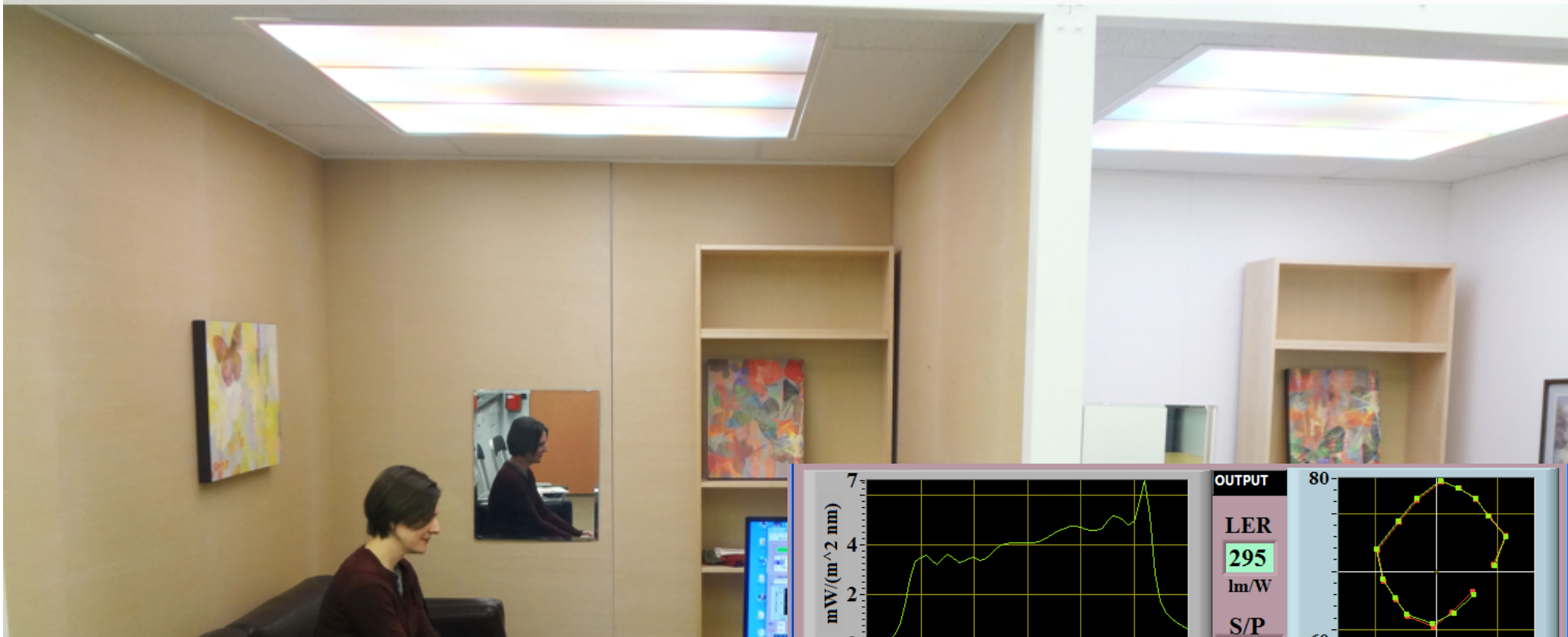
Test light  
( $R_a=78$ )

Reference  
(Planckian)



- CRI is penalizing good, preferred light sources!

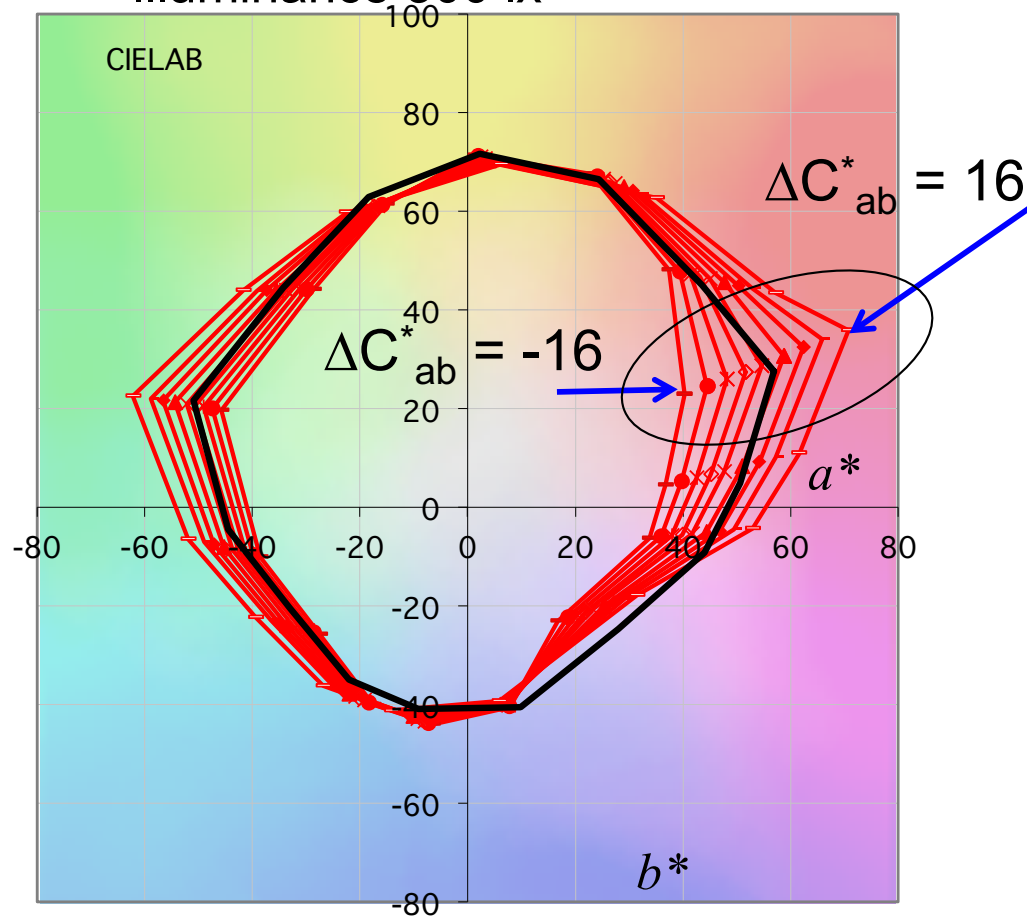
# NIST Spectrally Tunable Lighting Facility





# 2014 Vision Experiment at NIST on preferred chroma saturation level

- 20 subjects
- 2700 K, 3500 K, 5000 K
- $D_{uv}=0, -0.015$  (3500 K only)
- Illuminance 300 lx

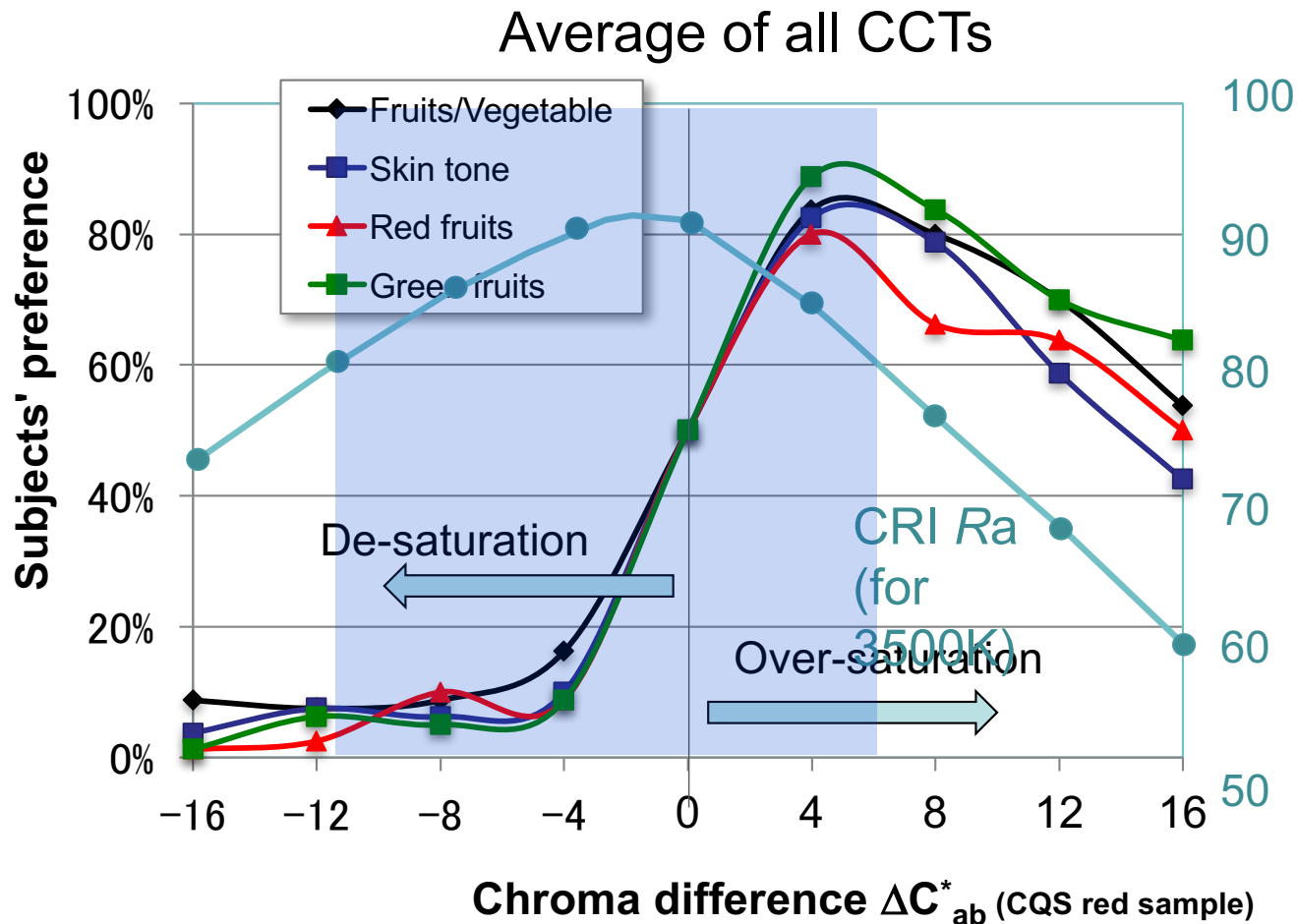


Most saturated



Most de-saturated

# Results of 2014 experiment



**Reference:** Y. Ohno, M. Fein, C. Miller, Vision Experiment on Chroma Saturation for Color Quality Preference, CIE 216 :2015, pp. 60 – 69 (2015)

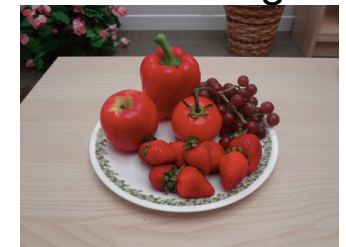
(1) Entire room



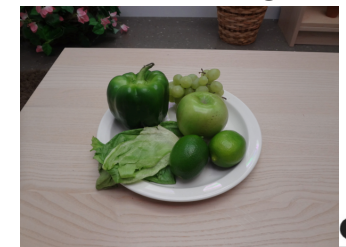
(2) Skin tone of subject



(3) Red fruits/vegetables



(4) Green fruits/vegetables



# IES TM-30 Concept of a Two-Metric System

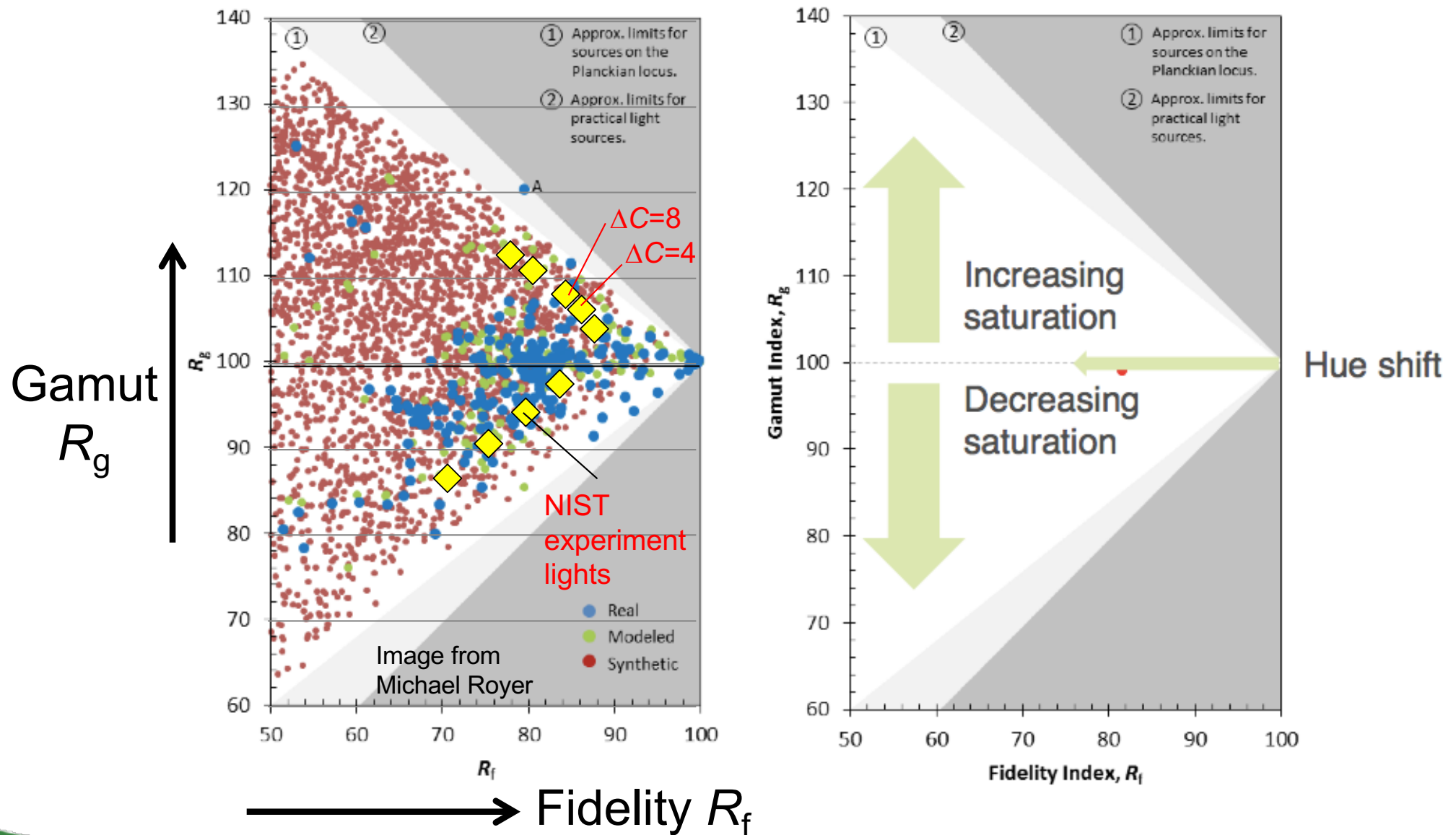
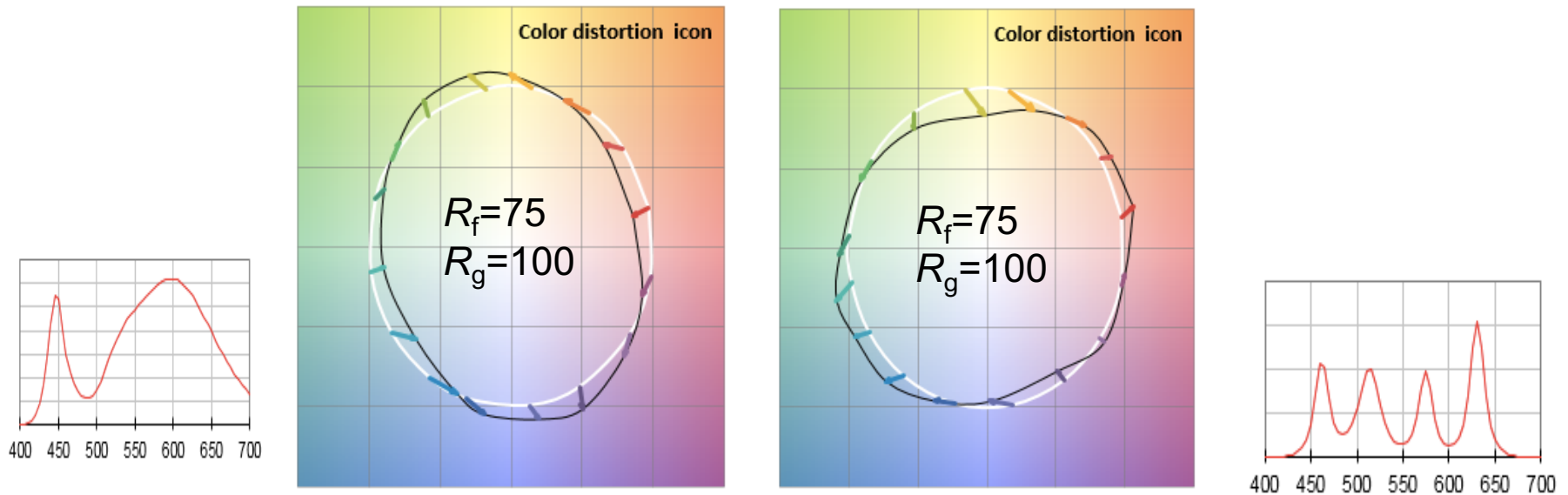


Image from Michael Royer.

# Gamut area is not sufficient

$R_g$  (Gamut Index) is equal but gamut shapes are different.



Looks better

Perceived color quality of these two lights are very different.



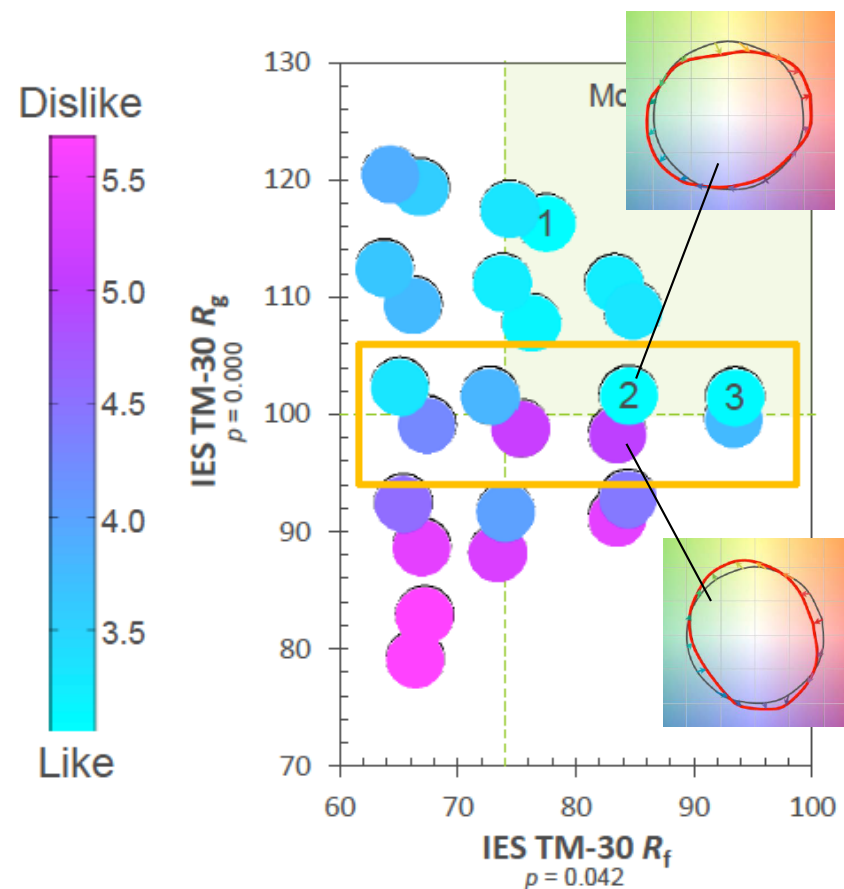
# Other Study – by Michael Royer, PNNL

Experimental Room



Experimental Room: Context

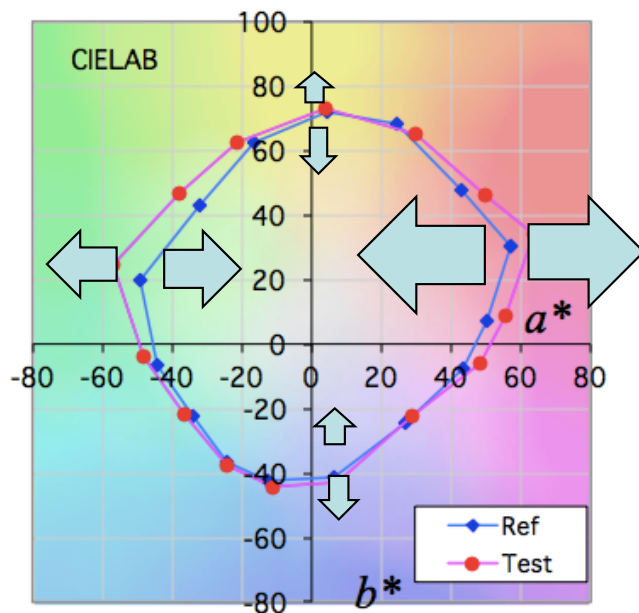
Lighting Conditions: 26  
Illuminance: 20 fc  
CCT: 3500 K (on Planckian)  
Objects: Generic consumer goods, balanced hues  
Application: Undefined  
Participants: 19-65, 16 females 12 males  
Rating Questions: Normal-Shifted, Saturated-Dull,  
Like-Dislike



Sides from Michael Royer,  
Energy Star Webinar, March  
31, 2016

# Color preference depends on gamut shape, on chroma shift in different hues

## Hypothesis



Goal: Develop a preference perception model.



# NIST 2016-2017 experiments on color saturation preference in different hues

**Color saturation / de-saturation:**  
Which color (hue) is most critical in affecting preference?

2016: 19 subjects

2017: 23 subjects

Targets:

- Fruits/vegetables

2700 K ( $D_{uv} = 0$ )

3500 K ( $D_{uv} = 0$ ) 2017

5000 K ( $D_{uv} = 0$ )

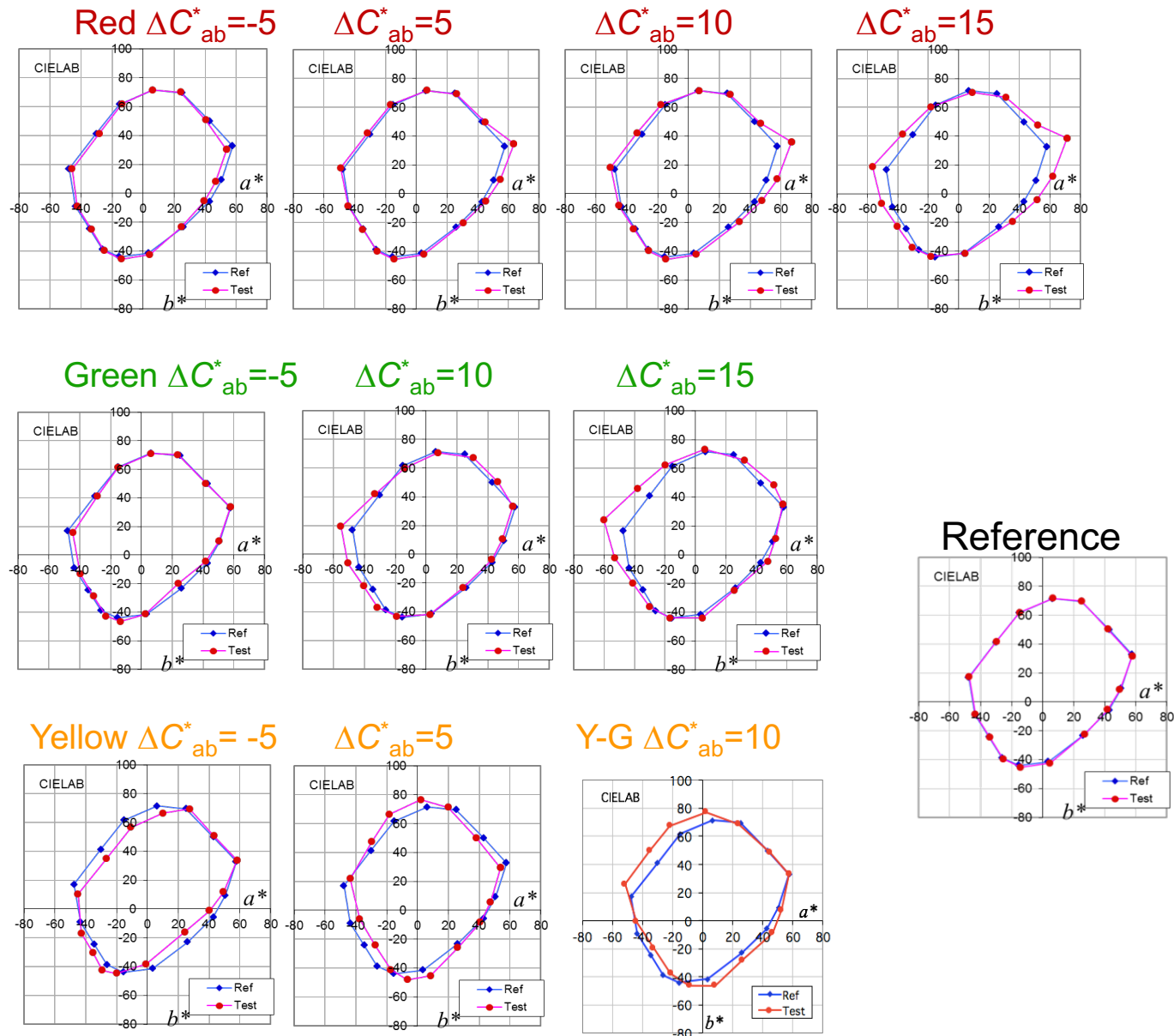
3500 K ( $D_{uv} = -0.015$ )

- Skin tone 2017

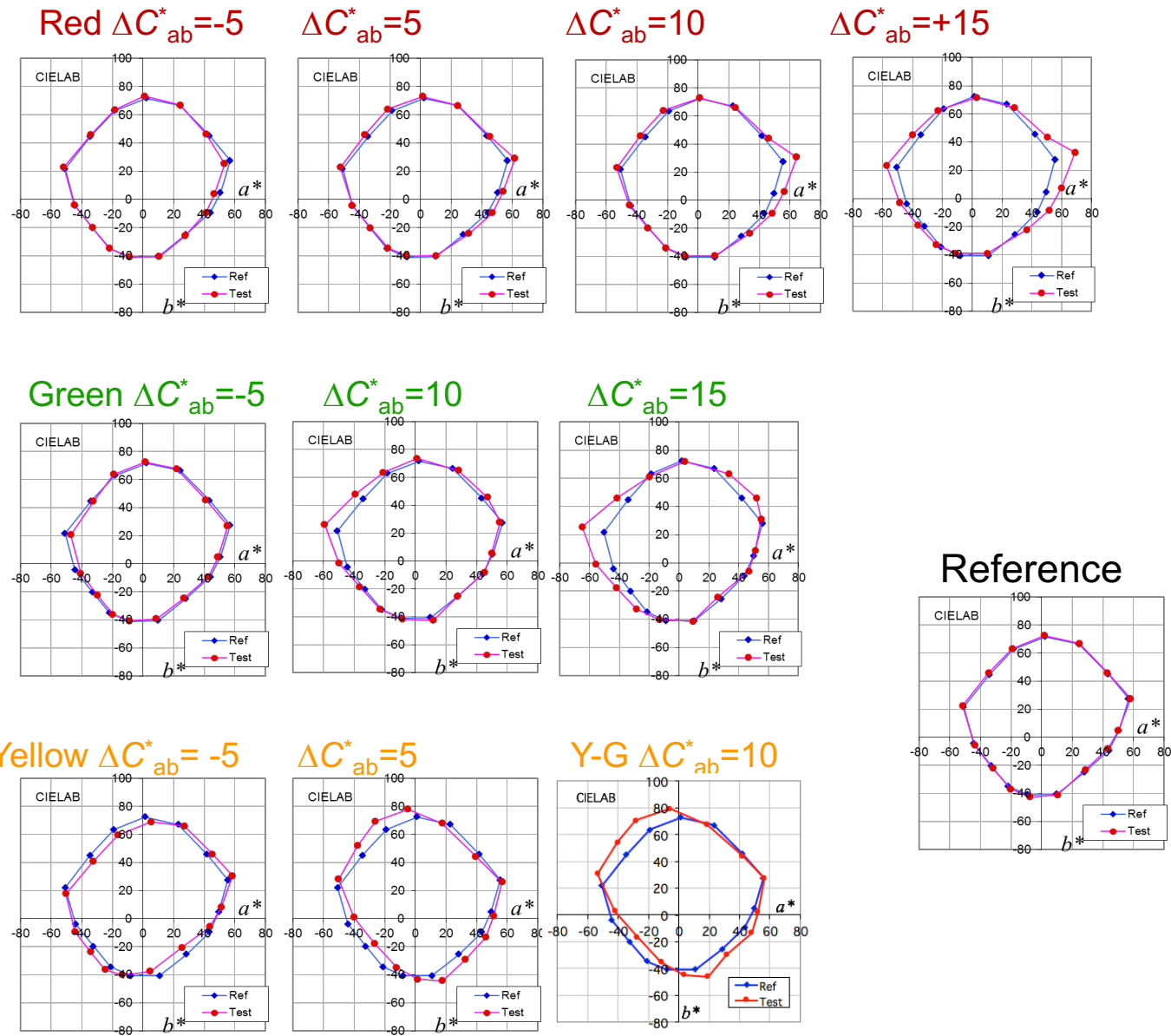
3500 K ( $D_{uv} = 0$ )



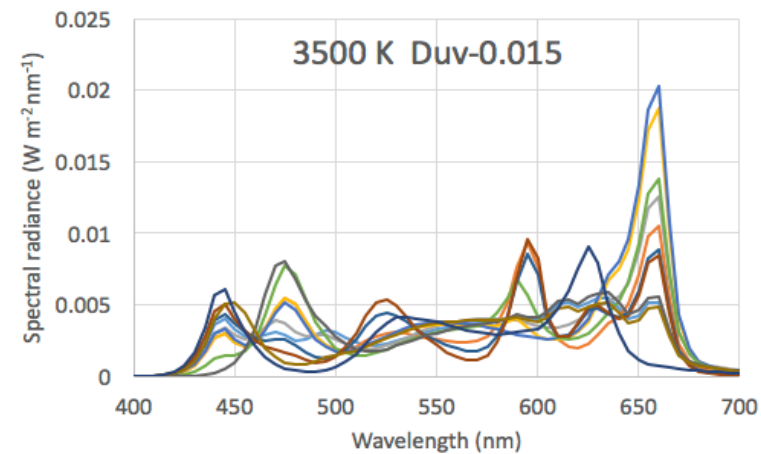
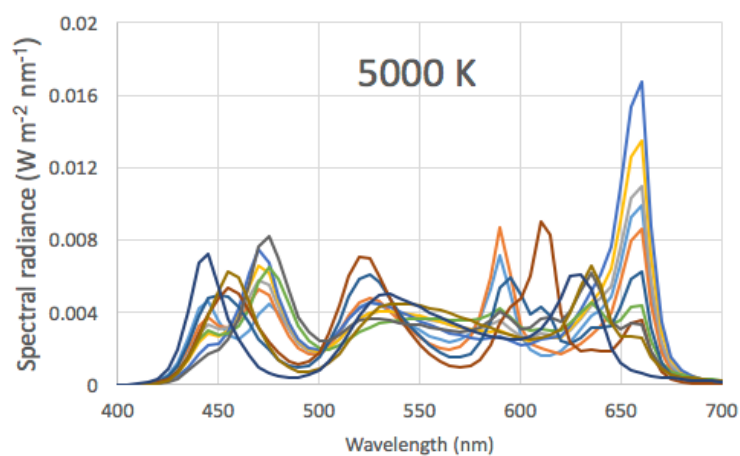
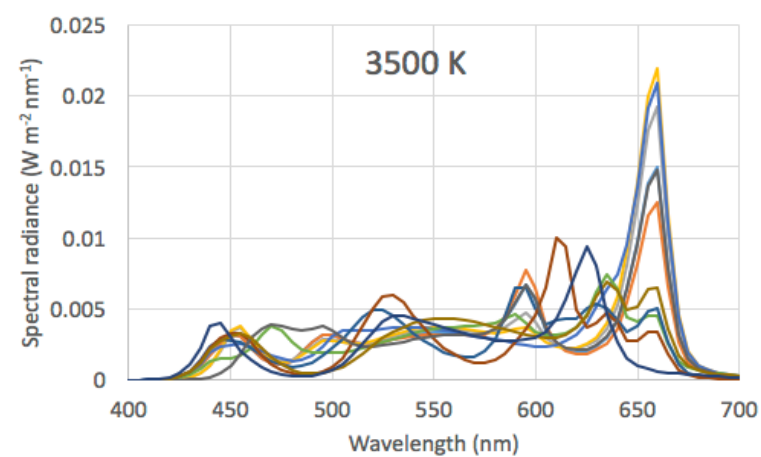
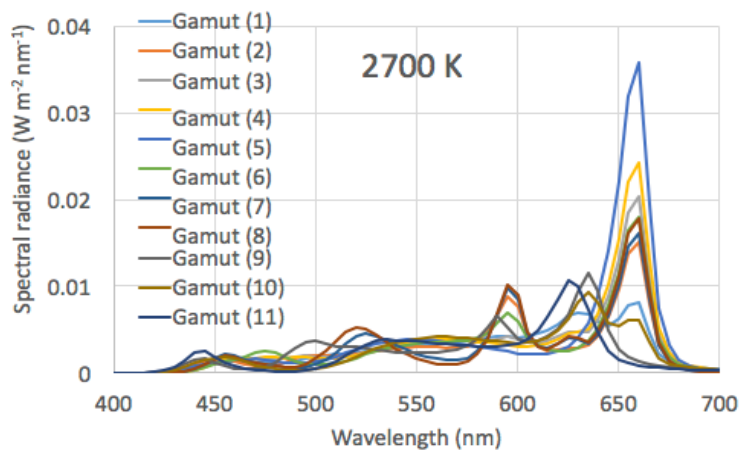
# Gamut shapes (3500 K)



# Gamut shapes (5000 K)



# Spectra



# Comparison of pairs (all combinations of the 11 lights)

	1	2	3	4	5	6	7	8	9	10	11
1											
2	A or B										
3											
4											
5											
6											
7											
8											
9											
10											
11											

Pair	A	B
1	3	5
2	9	4
3	1	4
..	..	..
..	..	..
55	10	11

“A”



“B”





$j \setminus i$	1	2	3	4	5	6	7	8	9	10	11
1											
2	17										
3	2	3									
4	2	2	4								
5	5	6	7	8							
6	14	7	15	17	15						
7	7	1	12	13	14	6					
8	3	3	9	10	12	3	3				
9	11	8	16	16	15	11	12	16			
10	9	3	13	15	13	6	9	15	8		
11	6	3	14	13	12	9	10	15	10	11	

\*Each cell represents the number of responds preferring the lighting on 'Column' side

	1	2	3	4	5	6	7	8	9	10	11
1	0.50	0.11	0.89	0.89	0.74	0.26	0.63	0.84	0.42	0.53	0.68
2	0.89	0.50	0.84	0.89	0.68	0.63	0.95	0.84	0.58	0.84	0.84
3	0.11	0.16	0.50	0.79	0.63	0.21	0.37	0.53	0.16	0.32	0.26
4	0.11	0.11	0.21	0.50	0.58	0.11	0.32	0.47	0.16	0.21	0.32
5	0.26	0.32	0.37	0.42	0.50	0.21	0.26	0.37	0.21	0.32	0.37
6	0.74	0.37	0.79	0.89	0.79	0.50	0.68	0.84	0.42	0.68	0.53
7	0.37	0.05	0.63	0.68	0.74	0.32	0.50	0.84	0.37	0.53	0.47
8	0.16	0.16	0.47	0.53	0.63	0.16	0.16	0.50	0.16	0.21	0.21
9	0.58	0.42	0.84	0.84	0.79	0.58	0.63	0.84	0.50	0.58	0.47
10	0.47	0.16	0.68	0.79	0.68	0.32	0.47	0.79	0.42	0.50	0.42
11	0.32	0.16	0.74	0.68	0.63	0.47	0.53	0.79	0.53	0.58	0.50

	1	2	3	4	5	6	7	8	9	10	11
1	0.00	-1.25	1.25	1.25	0.63	-0.63	0.34	1.00	-0.20	0.07	0.48
2	1.25	0.00	1.00	1.25	0.48	0.34	1.62	1.00	0.20	1.00	1.00
3	-1.25	-1.00	0.00	0.80	0.34	-0.80	-0.34	0.07	-1.00	-0.48	-0.63
4	-1.25	-1.25	-0.80	0.00	0.20	-1.25	-0.48	-0.07	-1.00	-0.80	-0.48
5	-0.63	-0.48	-0.34	-0.20	0.00	-0.80	-0.63	-0.34	-0.80	-0.48	-0.34
6	0.63	-0.34	0.80	1.25	0.80	0.00	0.48	1.00	-0.20	0.48	0.07
7	-0.34	-1.62	0.34	0.48	0.63	-0.48	0.00	1.00	-0.34	0.07	-0.07
8	-1.00	-1.00	-0.07	0.07	0.34	-1.00	-1.00	0.00	-1.00	-0.80	-0.80
9	0.20	-0.20	1.00	1.00	0.80	0.20	0.34	1.00	0.00	0.20	-0.07
10	-0.07	-1.00	0.48	0.80	0.48	-0.48	-0.07	0.80	-0.20	0.00	-0.20
11	-0.48	-1.00	0.63	0.48	0.34	-0.07	0.07	0.80	0.07	0.20	0.00
Average	-0.27	-0.83	0.39	0.65	0.46	-0.45	0.03	0.57	-0.41	-0.05	-0.09

For all subjects

$z_{i,j} = \text{NORM.S.INV} (p_{i,j})$   
Inverse of the standard normal cumulative distribution.

The distribution has a mean of zero and a standard deviation of one.

## NORM.S.INV function

For a probability density function of the standard normal distribution

$$f(x) = \frac{1}{\sqrt{2\pi}} \exp\left(-\frac{x^2}{2}\right)$$

$$z_{ij} = x; \int_{-\infty}^x \frac{1}{\sqrt{2\pi}} \exp\left(-\frac{x^2}{2}\right) dx = p_{ij}$$

$z_{ij}$  is the degree of preference of light  $i$  over light  $j$  in the scale from negative (disliked) to positive (liked).

$j \setminus i$	3) Z-score										
	1 (Ref)	2	3	4	5	6	7	8	9	10	11
1	0.00	-1.10	1.21	0.75	0.91	-0.47	-0.11	0.83	-0.60	0.60	0.54
2	1.10	0.00	1.69	1.00	0.91	0.91	0.23	0.67	0.17	1.10	1.34
3	-1.21	-1.69	0.00	0.60	0.23	-0.83	-0.54	-1.10	-1.69	-0.83	-0.11
4	-0.75	-1.00	-0.60	0.00	0.41	-0.54	-0.60	-0.29	-1.10	-0.75	-0.47
5	-0.91	-0.91	-0.23	-0.41	0.00	-0.83	-0.47	-0.60	-0.91	-0.47	-0.47
6	0.47	-0.91	0.83	0.54	0.83	0.00	0.75	1.10	-0.35	1.34	1.69
7	0.11	-0.23	0.54	0.60	0.47	-0.75	0.00	0.06	-0.41	-0.11	1.21
8	-0.83	-0.67	1.10	0.29	0.60	-1.10	-0.06	0.00	-0.29	-0.06	0.23
9	0.60	-0.17	1.69	1.10	0.91	0.35	0.41	0.29	0.00	0.67	0.83
10	-0.60	-1.10	0.83	0.75	0.47	-1.34	0.11	0.06	-0.67	0.00	0.60
11	-0.54	-1.34	0.11	0.47	0.47	-1.69	-1.21	-0.23	-0.83	-0.60	0.00
Average	-0.23	-0.83	0.65	0.52	0.56	-0.57	-0.14	0.07	-0.61	0.08	0.49
$Z_{ave,i}$											

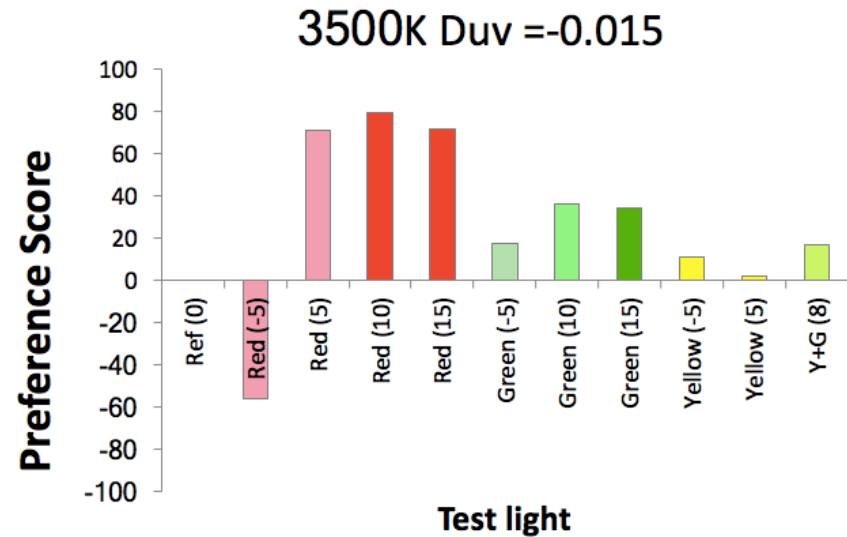
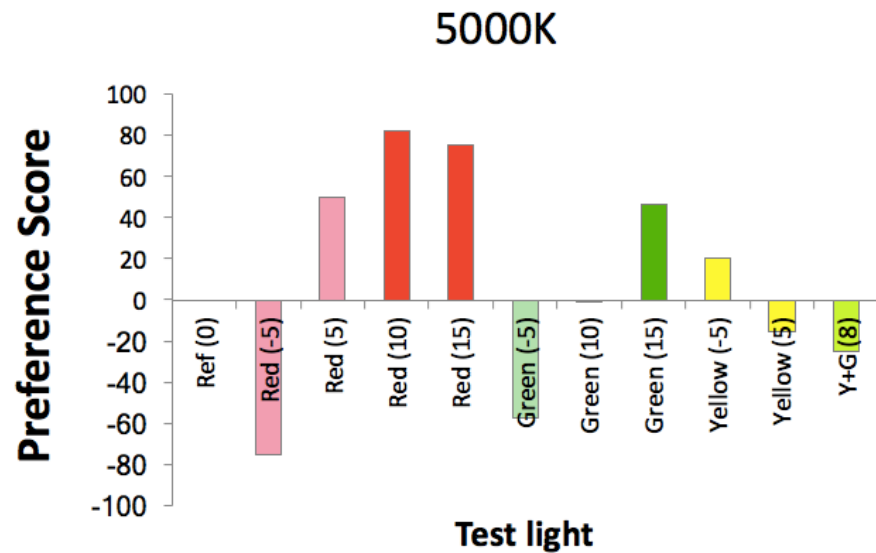
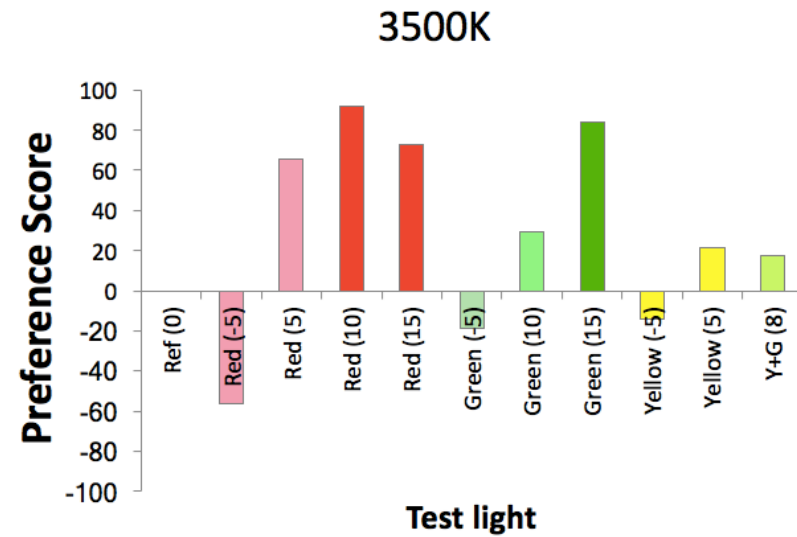
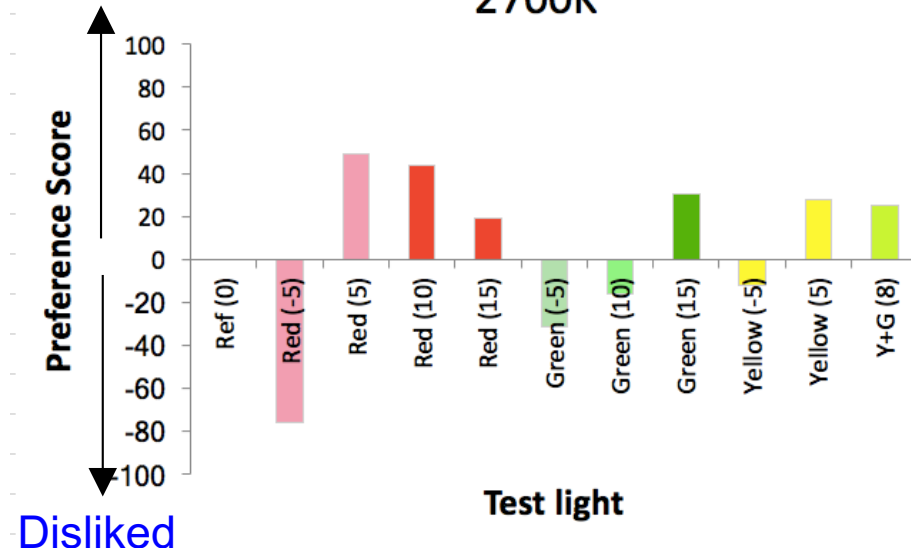
3) Normalized z-score $Z_{\text{norm},i}$											
Norm.	0.00	-0.60	0.88	0.75	0.80	-0.34	0.10	0.30	-0.38	0.31	0.72

Preference score											
x 100	0	-60	88	75	80	-34	10	30	-38	31	72



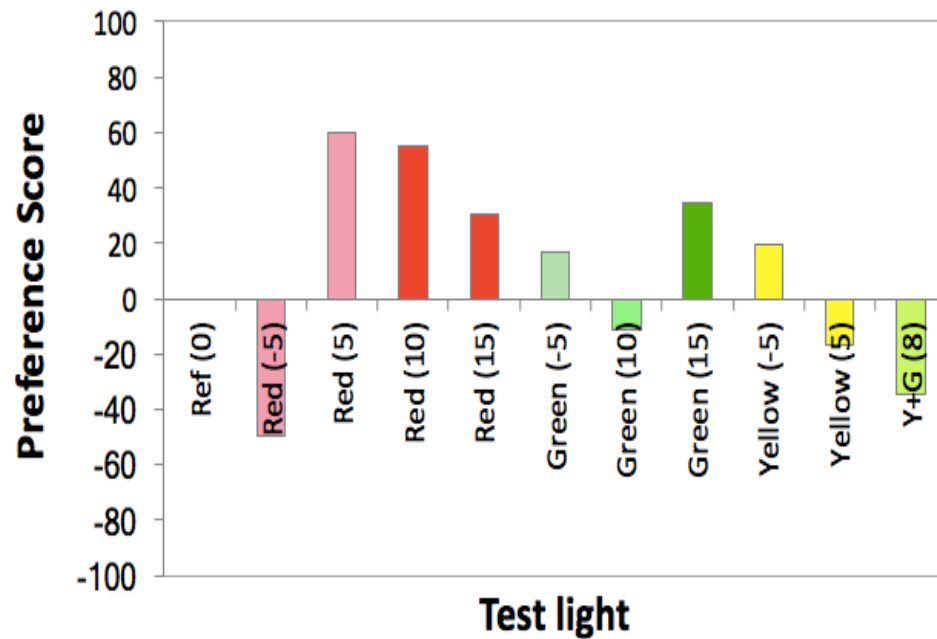
# 2016 Results (fruits and vegetables)

Preferred

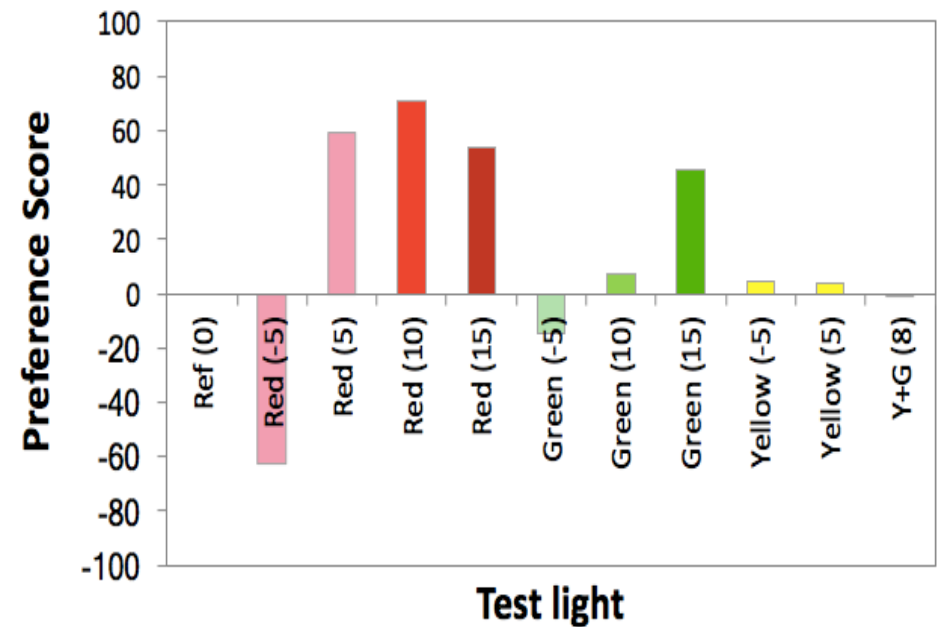


## 2016 Results (Skin, Average)

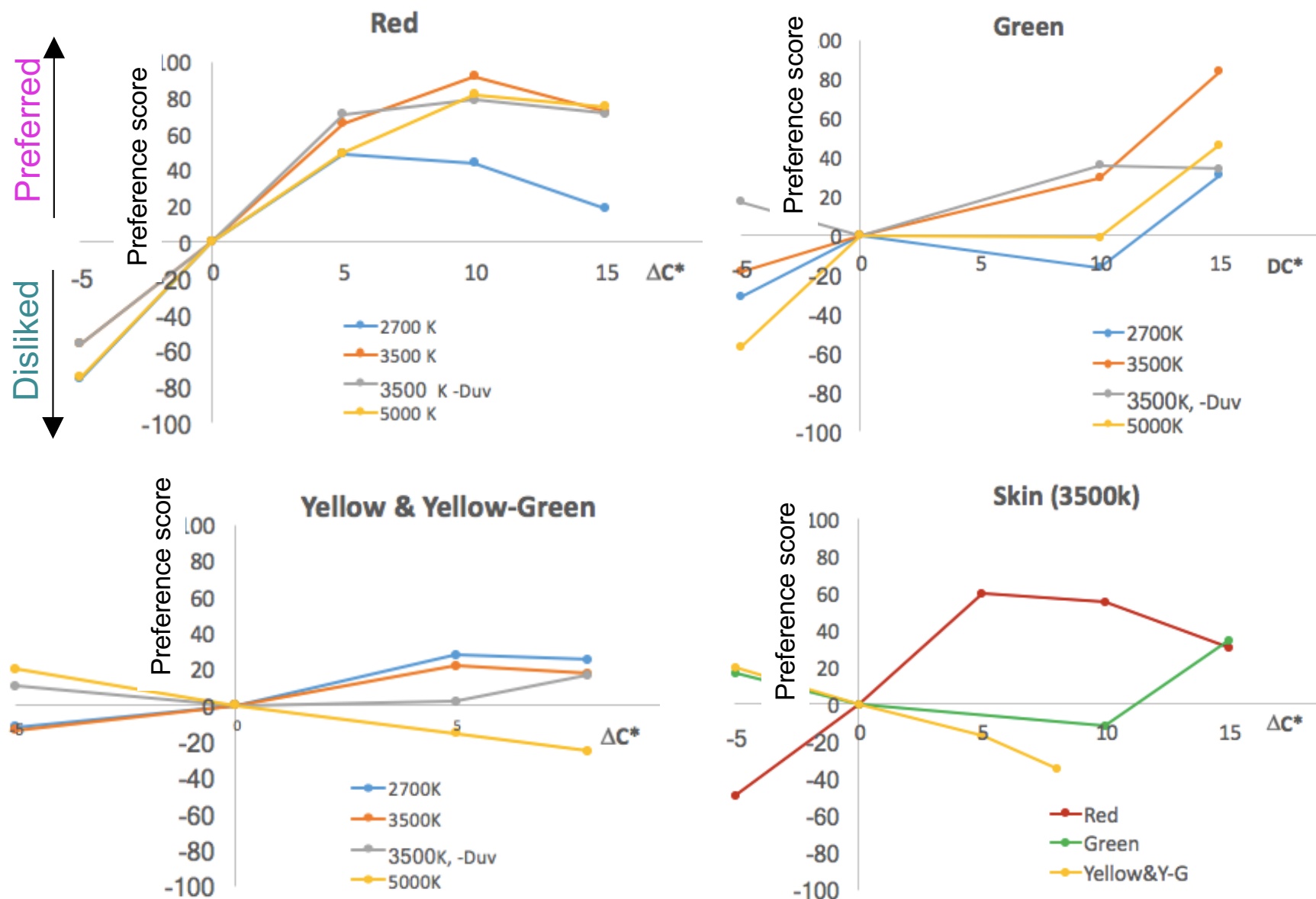
3500K Skin tone



Grand Average

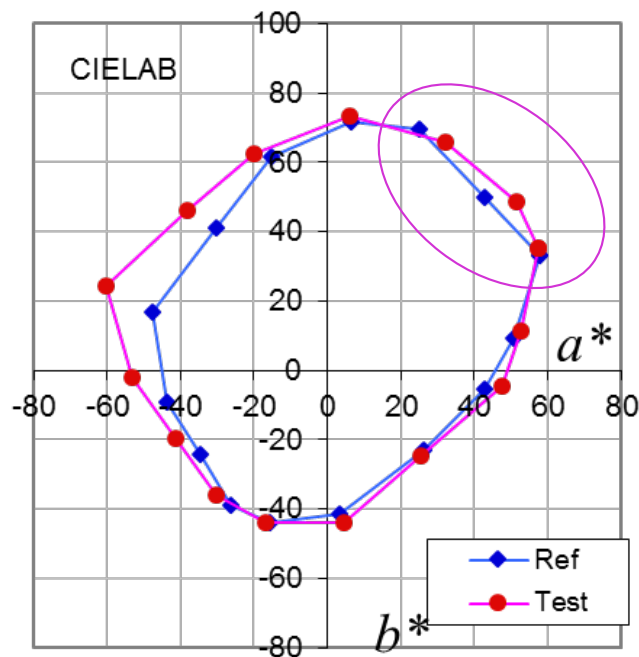


# 2016 Results as a function of chroma increase



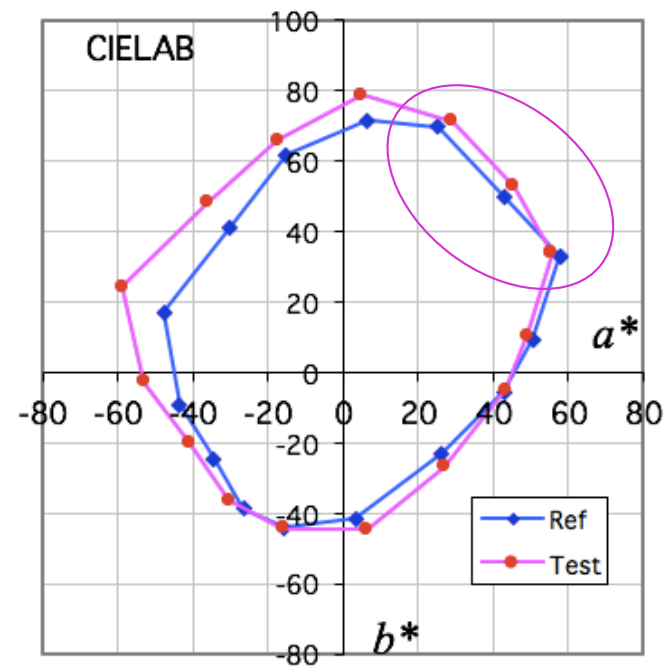
# Improving gamut shape in 2017

2016



Green  $\Delta C^*_{ab}=15$

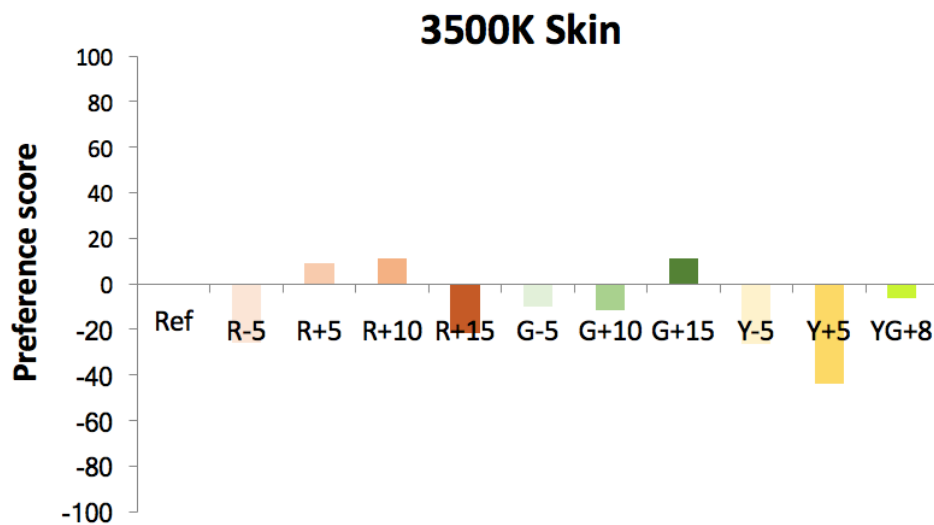
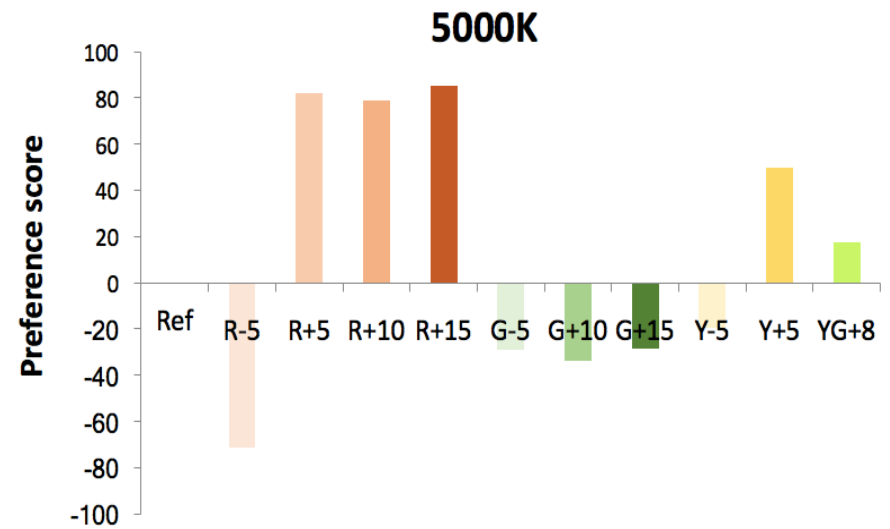
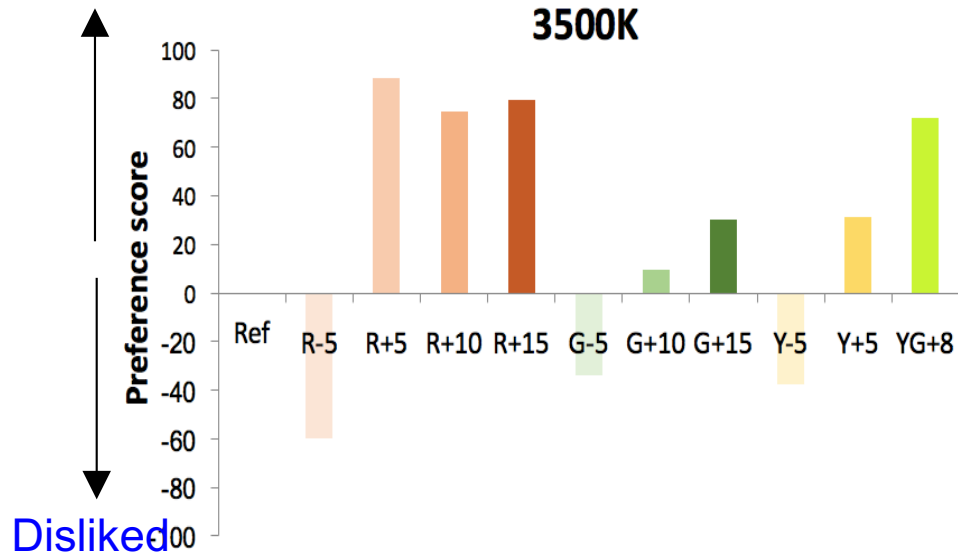
2017



Green  $\Delta C^*_{ab}=15$

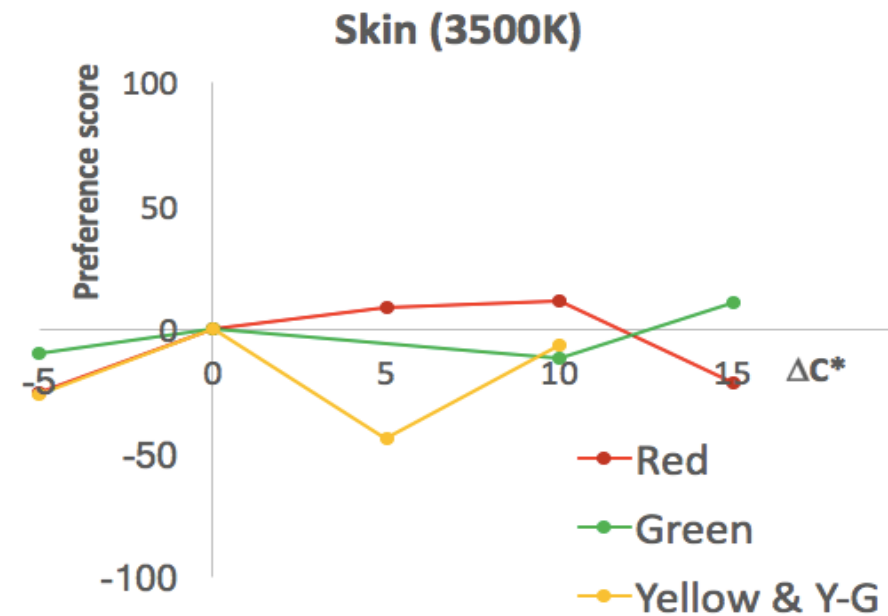
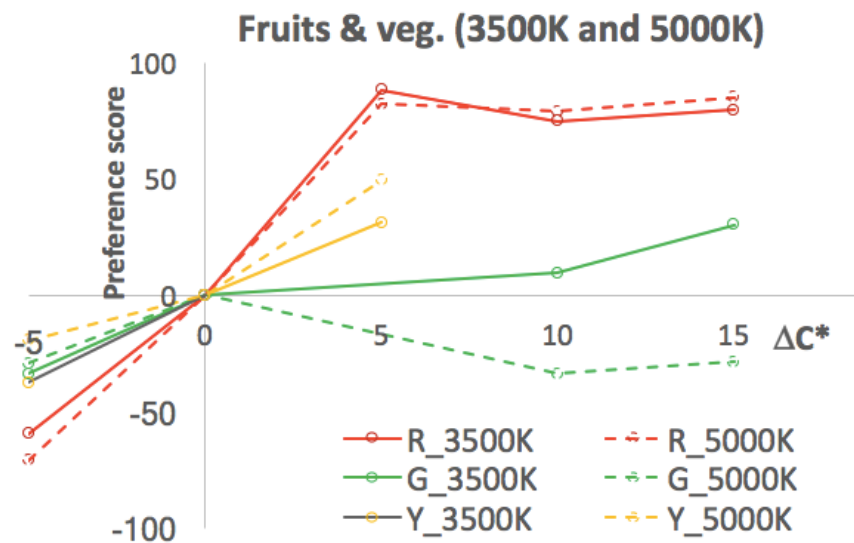
# 2017 Results

Preferred

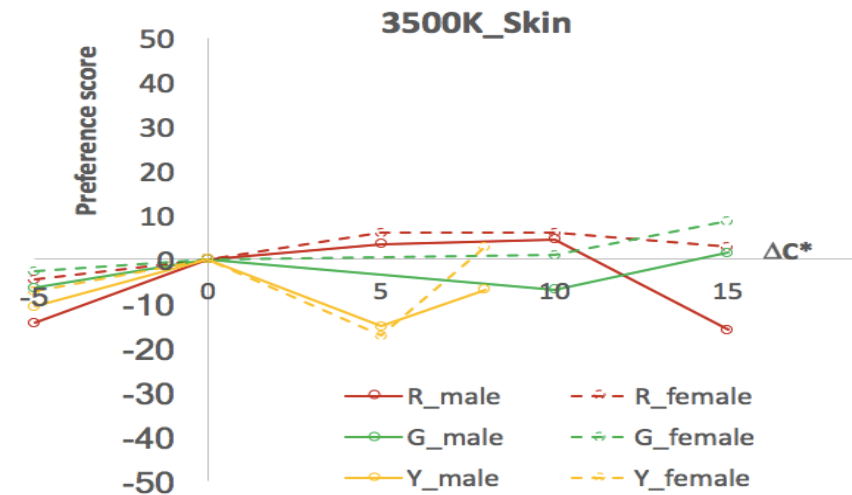
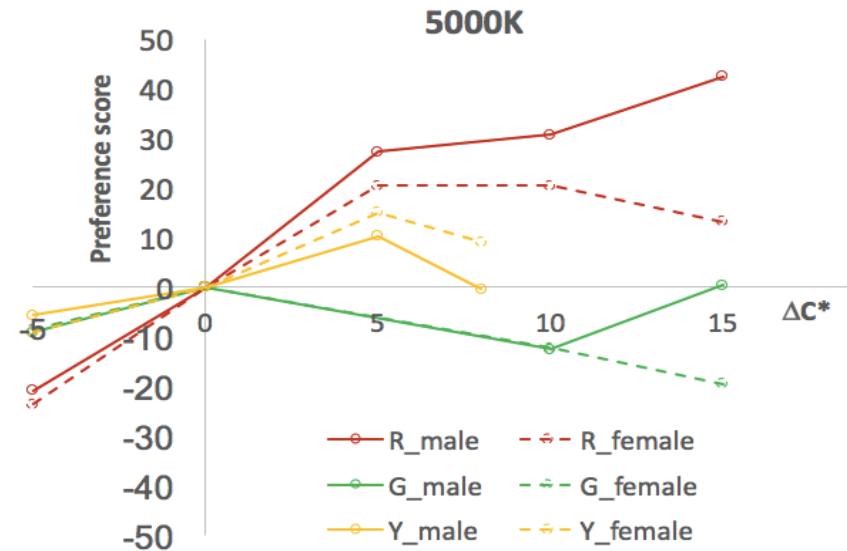
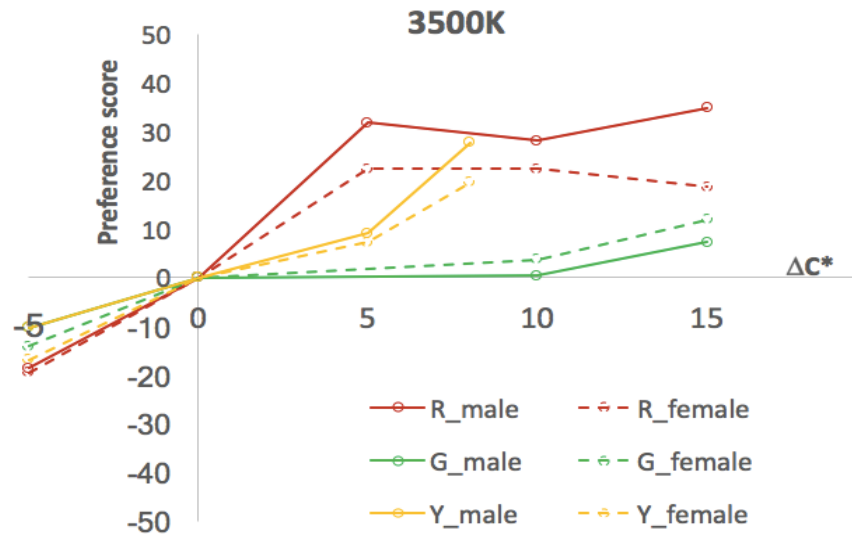


Red is dominant.

## 2017 Results as a function of chroma increase

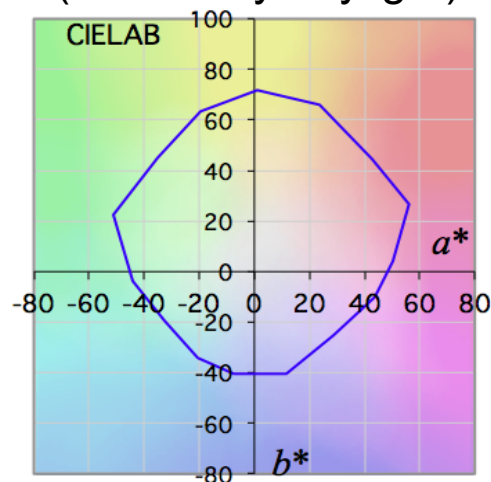


# 2017 Results (male / female)

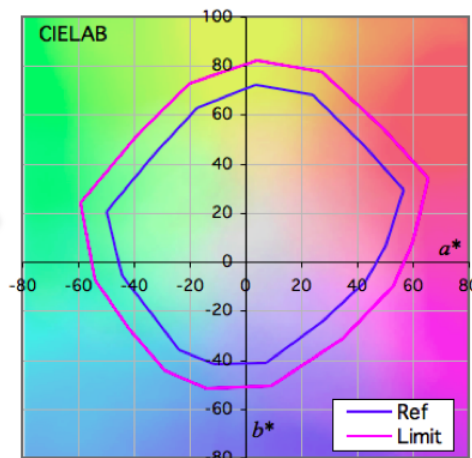


# Toward future development of a color quality preference metric

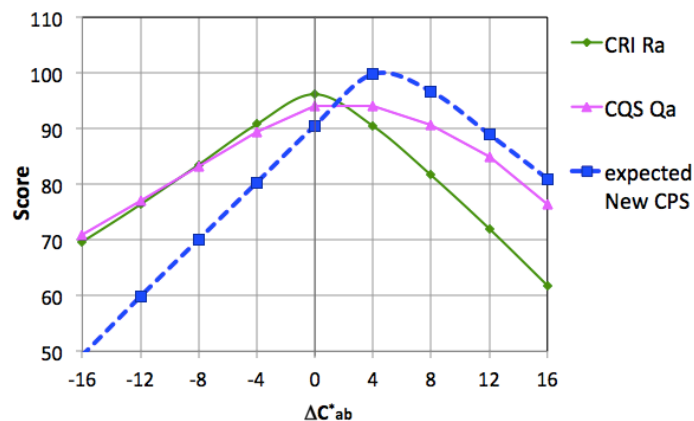
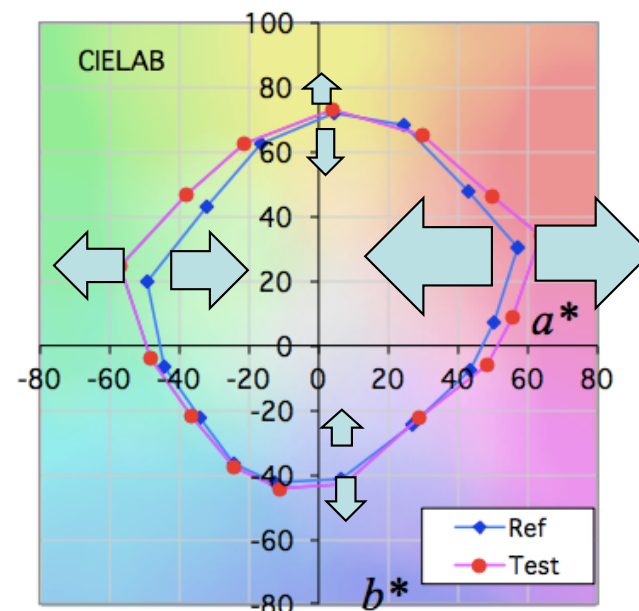
Fidelity reference  
Illuminant  
(blackbody/daylight)



Preference reference  
Illuminant



Modeling  
(different weights for different hue)







## CIE Research Strategy (August 216)

### Top Priority Topics

1. Recommendation for Healthful Lighting and Non-Visual Effects of Light (D3, D6, D2)
- 2. Color quality of LED light sources related to Perception and Preference (D1, D3)**
3. Integrated Glare Metric for various lighting applications (D3, D4, D5)
4. New Radiometric Quantities
5. Adaptive Lighting
6. Application of Light
7. Visual Comfort
8. Support for Lighting Design
9. Metrology for advanced photometric and radiometric devices (D2)
10. Reproduction and Measurement of 3D object (D8)

The overall objective of the research topic is to develop indices for colour quality other than colour fidelity, especially those related to general colour preference, which is the perceived or subjective judgement of colour rendering (e.g. for naturalness).

# Summary

- It is verified that chroma increase in red is the dominant factor in preference.
- Green requires large increase in chroma for preference effect.
- Yellow increase has much less effects for preference.
- A model for color quality preference is being developed based on these results, toward developing a general color preference metric proposal.
- Further studies needed for differences by gender, age, ethnic groups, etc.